

# Certified Adversarial Robustness Within Multiple Perturbation Bounds

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## Abstract

*Randomized smoothing (RS) is a well known certified defense against adversarial attacks, which creates a smoothed classifier by predicting the most likely class under random noise perturbations of inputs during inference. While initial work focused on robustness to  $\ell_2$  norm perturbations using noise sampled from a Gaussian distribution, subsequent works have shown that different noise distributions can result in robustness to other  $\ell_p$  norm bounds as well. In general, a specific noise distribution is optimal for defending against a given  $\ell_p$  norm based attack. In this work, we aim to improve the certified adversarial robustness against multiple perturbation bounds simultaneously. Towards this, we firstly present a novel certification scheme, that effectively combines the certificates obtained using different noise distributions to obtain optimal results against multiple perturbation bounds. We further propose a novel training noise distribution along with a regularized training scheme to improve the certification within both  $\ell_1$  and  $\ell_2$  perturbation norms simultaneously. Contrary to prior works, we compare the certified robustness of different training algorithms across the same natural (clean) accuracy, rather than across fixed noise levels used for training and certification. We also empirically invalidate the argument that training and certifying the classifier with the same amount of noise gives the best results. The proposed approach achieves improvements on the ACR (Average Certified Radius) metric across both  $\ell_1$  and  $\ell_2$  perturbation bounds. Code available at <https://github.com/val-iisc/NU-Certified-Robustness>*

## 1. Introduction

Deep neural networks are vulnerable to carefully crafted input perturbations known as adversarial attacks [3, 30]. These perturbations are imperceptible to human eyes, but are sufficient to fool the classifier into making wrong predictions. In order to improve the robustness of models against such attacks, one line of research is on *empirical defenses*, which augments the training data with adversar-

ial attacks during training [13, 23, 34, 35, 40]. Another line of research is on *certified defenses*, which gives mathematically proven probabilistic guarantees within guarded areas around an input  $x$ , such that any perturbation within that region fails to deceive the network [7, 25, 33]. *Randomized smoothing* (RS) [7] is one such certified defense that makes a network certifiably robust within an  $\ell_2$  norm ball by creating a smoothed version of a base classifier. This is achieved by using zero mean additive Gaussian noise augmentations of the inputs during both training and inference.

In this work, we firstly highlight the following shortcomings observed in the current literature of randomized smoothing, and further propose solutions to address the same: 1) Existing defenses focus mostly on achieving certified robustness within a given threat model [7, 37]. However, for real-life applications, it is necessary to improve the robustness of models against more than one  $\ell_p$  norm threat models simultaneously [9, 31]. 2) Prior works [7] recommend using the same noise magnitude during both training and certification for optimal performance, but we empirically demonstrate that this does not hold true in practice (Table 3). 3) It has been a standard practice to compare various defenses against a common magnitude of noise that is used for training/ certification [7, 18]. However, we show that this may not be the best way of comparing defenses, since the use of different training/ certification schemes may result in different robustness-accuracy trade-offs, making it hard to compare defenses from an end user perspective. For example, an improved robustness at a lower clean accuracy may not necessarily mean improved performance overall. Following are our contributions to address the above issues:

- We propose a novel certification scheme, that combines the benefits of *Gaussian* and *Uniform* noise based smoothed classifiers to create a stronger hybrid smoothed classifier in terms of both  $\ell_1$  and  $\ell_2$  norm robustness guarantees.
- Contrary to existing works, we show that using the same noise during both training and inference does not always lead to optimal robustness. Further, we propose to compare defenses by targeting a fixed value of clean accuracy, rather than by fixing the noise used for training/ inference which, can be misleading.

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- We propose the use of *Normal-Uniform* distribution as the training noise distribution, alongside a regularizer that enforces similarity between the training and inference noise distributions. Using the proposed approach, we demonstrate improved certified robustness against both  $\ell_2$  and  $\ell_1$  attacks simultaneously.

## 2. Background

### 2.1. Randomized Smoothing

A base classifier  $f$  is first trained using cross-entropy loss on images with Gaussian noise based augmentations, where noise sampled from  $\mathcal{N}(0, \sigma^2 I)$  is added to every image. During certification,  $f$  is transformed to a smoothed classifier  $g$  such that for a given test image  $x$ ,  $g$  outputs the most probable class across different noise augmentations of  $x$ , using noise sampled from the same distribution as that used for training. Since it is not feasible to compute this probability exactly for Neural Networks, it is estimated using a random sample of  $n$  noise vectors generated from the smoothing distribution for each test image  $x$ . The augmented images are passed through the trained base classifier to obtain  $n$  predictions for  $x$ . Let  $\hat{c}_A$  be the class predicted the most number of times and  $n_A$  be the number of times  $\hat{c}_A$  has been predicted, then the estimated probability is  $p_A = n_A/n$ . Next a one-sided  $(1 - \alpha)$  lower confidence bound of  $p_A$  is calculated as  $\underline{p}_A$ . If  $\underline{p}_A > 0.5$ , then the  $\ell_2$  norm robust radius is returned as  $\sigma \Phi^{-1}(\underline{p}_A)$ , otherwise the sample is said to be *ABSTAINED*. Cohen *et al.* [7] use  $n = 100,000$  and  $\alpha = 0.001$ , resulting in a 0.1% chance of returning a falsely certified data point. More details on the computation of certified radius for  $\ell_1$  and  $\ell_2$  threat models are presented in Section-1.1 of the Supplementary.

### 2.2. Evaluation metrics for Certified Robustness

We use the following metrics for evaluation: *Clean accuracy* and *robustness*. Clean accuracy refers to the accuracy on natural unperturbed images. For robustness, we use a metric called *Average Certified Radius* or ACR, introduced by Zhai *et al.* [38]. ACR is the average value of certified radii of all the considered test data points as shown below:

$$ACR := \frac{1}{|D_{test}|} \sum_{(x,y) \in D_{test}} CR(f,x) \cdot \mathbf{1}_{g(x)=y} \quad (1)$$

where  $D_{test}$  is the considered test dataset,  $CR(f,x)$  is the certified radius of  $x$  for base classifier  $f$ , and  $\mathbf{1}_{g(x)=y}$  is the indicator function for correct classification. As the noise level  $\sigma$  is increased, some data points will have higher certified radii, but the number of wrongly classified data points would also increase. For misclassified data points we would have,  $CR(f,x) \cdot \mathbf{1}_{g(x)=y} = 0$ . So increasing the noise magnitude  $\sigma$ , does not necessarily increase the ACR. Hence in

addition to robustness, ACR also captures the robustness-accuracy trade-off of a model.

## 3. Related Works

### 3.1. Empirical Defenses

Empirical Defenses are heuristic based approaches that achieve adversarial robustness without specific mathematical guarantees. Their adversarial robustness is thus evaluated against strong empirical attacks such as AutoAttack [10] and GAMA [28]. Adversarial training [13, 23, 34] is one such defense, which maximizes a classification loss to generate adversarial attacks and minimizes the loss on the attacked images for training. Although empirical defenses achieve the best possible robustness today [35, 40], they do not provide robustness guarantees, which may be crucial for security critical applications such as autonomous driving. In the history of empirical defenses, several early methods which used gradient obfuscation as a defense strategy [4, 15, 27, 36] have later been broken later by stronger attacks [2], highlighting the need for robustness guarantees.

### 3.2. Certified Defenses

Certified defenses provide provable probabilistic or exact guarantees [7, 25, 26, 33, 37] to ensure that for any input  $x$ , the classifier's output is consistent within a defined neighbourhood around  $x$ , i.e., any attack within this radius is unsuccessful to deceive the network. Certified defenses can be categorized as, i) Exact methods [5, 6, 12, 17, 19, 22], ii) Convex Optimization based methods [8, 14, 24, 32, 33, 39], and iii) Randomized Smoothing [7, 37] based methods. The former two categories are highly computationally expensive and architecture dependent, thus not scalable to large networks. Being architecture independent, randomized smoothing has an unparalleled advantage over the other two categories in this regard. Cohen *et al.* [7] provide a tight  $\ell_2$  certified robustness guarantee and Yang *et al.* [37] provide the same for an  $\ell_1$  adversary along with the theoretical foundation.

### 3.3. Robustness to Multiple Threat Models

Most existing defenses aim to achieve robustness against only a single type of adversary, such as attacks constrained within a given  $\ell_p$  norm bound. Tramer *et al.* [31] propose an empirical defense against more than one  $\ell_p$  norm attacks simultaneously, by performing adversarial training on all or the worst attack amongst all considered threat models for the given sample. Croce and Hein [11] propose a defense that utilizes the geometrical relation between different  $\ell_p$  norm balls to obtain robustness against a union of  $\ell_p$  norm threat models. The authors also introduce a similar framework [9] for provable robustness against multiple  $\ell_p$  norm perturbations. However, to the best of our knowledge, certi-

fied robustness guarantee for more than one  $\ell_p$  norm bound using randomized smoothing is unexplored.

### 3.4. Randomized Smoothing (RS) based methods

Cohen *et al.* [7] recommend training and certifying with the same noise augmentations to achieve optimal performance. Yang *et al.* [37] also use the same strategy of training and certifying with the same noise magnitude. Few recent works [18, 38] propose the use of regularized robust training methods to improve the certified radius of the smoothed classifier. Zhai *et al.* [38] propose MACER, which uses a robustness loss in addition to the standard training framework provided by Cohen *et al.* [7], to maximize the robust radius. Jeong and Shin [18] propose a regularizer that controls the prediction consistency over noise to increase the certified robustness of smoothed classifiers, while also controlling the accuracy-robustness trade-off. Motivated by this, we propose a regularizer that is better suited to the proposed defense of using Normal noise during training, and a combination of Normal and Uniform noise during certification. Although these works differ in their training methods, they also perform training and certification with the same noise augmentations and compare results across fixed levels of noise magnitudes. We highlight the limitations of the same (Table 3) and propose a novel comparison perspective across different methods.

## 4. Proposed Approach

We now discuss the various contributions of this work during training, certification and inference in greater detail.

### 4.1. Proposed Certification Method for robustness within multiple threat models

As noted in prior works [7, 37], the optimal smoothing distribution for  $\ell_1$  norm robustness is Uniform distribution and that for  $\ell_2$  norm robustness is Gaussian distribution. In order to achieve robustness within both  $\ell_1$  and  $\ell_2$  norm bounds, we perform certification twice using noise sampled from Uniform and Gaussian distributions respectively. While the certification process for a single threat model is straightforward, combining the certificates obtained for different smoothing distributions effectively is non-trivial. Towards this, we propose a novel strategy to effectively combine the predictions and certifications obtained from the individual smoothing distributions. A Uniform (Gaussian) smoothed classifier gives 4 outcomes for every test image: confidence in the predicted label (Abstained or not), predicted label  $y_U$  ( $y_G$ ),  $\ell_1$  norm certified radius  $r_U^{l_1}$  ( $r_G^{l_1}$ ) and  $\ell_2$  norm certified radius  $r_U^{l_2}$  ( $r_G^{l_2}$ ). We build a hybrid smoothed classifier from these 8 outputs using the rules presented in Table 1 depending on the different possibilities arising from the predictions and their confidence. Table 2

Table 1. Proposed certification method that combines the certificates of the two smoothed classifiers to build a stronger hybrid classifier with improved robustness in both  $\ell_1$  and  $\ell_2$  norm bounds.

CATEGORY	$\ell_1$ RADIUS	$\ell_2$ RADIUS	PREDICTED LABEL
$y_U = y_G$	$Max(r_U^{l_1}, r_G^{l_1})$	$Max(r_U^{l_2}, r_G^{l_2})$	COMMON LABEL
ONLY $y_U$ IS ABSTAINED	$r_G^{l_1}$	$r_G^{l_2}$	$y_G$
ONLY $y_G$ IS ABSTAINED	$r_U^{l_1}$	$r_U^{l_2}$	$y_U$
BOTH ARE ABSTAINED	0	0	ABSTAINED
$y_U \neq y_G$	0	0	ABSTAINED

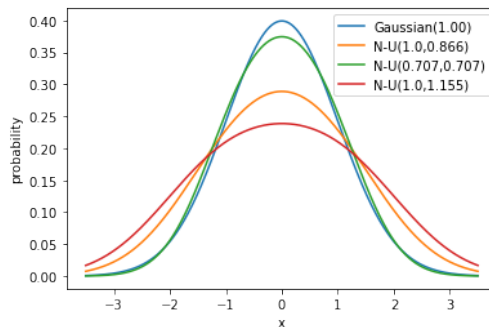


Figure 1. Shape of the proposed Normal-Uniform distribution for different kurtosis values.

shows that the proposed certification method improves the  $\ell_1$  ACR when compared to the use of Gaussian smoothing alone for certification, without diminishing the  $\ell_2$  ACR. The clean accuracy also improves using this approach.

### 4.2. Noise Magnitude for training and inference

We empirically show that the use of same noise magnitude ( $\sigma$ ) for both training and inference does not always result in the best overall performance. As shown in Table 3, both clean accuracy and ACR improve when a lower  $\sigma$  is used during certification. When a consistency regularizer is used, a higher  $\sigma$  at test time improves robust accuracy with a marginal drop in clean accuracy. We thus select the best combination of train and test  $\sigma$ , rather than using the same value for both.

### 4.3. Normal-Uniform Noise Distribution

In this work, we aim to achieve improved adversarial robustness to  $\ell_2$  norm perturbations using Gaussian smoothing and to  $\ell_1$  norm perturbations using Uniform smoothing simultaneously. Towards this, we propose to use a combination of both distributions during training, which we refer to as the *Normal-Uniform* distribution as shown in Figure 1. We consider two independent random variables,  $X \sim N(0, \sigma_N^2)$ , and  $Y \sim U(-\sqrt{3}\sigma_U, \sqrt{3}\sigma_U)$ . Then,  $Z = X + Y$  is defined to follow a *Normal-Uniform* distribution with parameters  $(\sigma_N, \sigma_U)$ .

The use of Normal-Uniform noise for training allows the model to be trained on noise sampled from both Gaussian and Uniform distributions simultaneously, while also allowing the choice of parameters such that  $Z$  has a negative

Table 2. The proposed certification strategy can significantly increase the  $\ell_1$  ACR without compromising on the  $\ell_2$  ACR.

TRAINING	CERTIFICATION	CLEAN ACC	$\ell_2$ ACR	$\ell_1$ ACR
$NU(\sigma_N = 0.50, \sigma_U = 0.433) + R_S(\beta = 3)$	$Gaussian(\sigma = 0.60)$	59.30	0.742	0.742
$NU(\sigma_N = 0.50, \sigma_U = 0.433) + R_S(\beta = 3)$	$Gaussian(\sigma = 0.60) + Uniform(\sigma = 0.65)$	60.26	0.742	<b>0.783</b>
$NU(\sigma_N = 1.00, \sigma_U = 0.866) + R_S(\beta = 2)$	$Gaussian(\sigma = 1.00)$	44.49	0.769	0.769
$NU(\sigma_N = 1.00, \sigma_U = 0.866) + R_S(\beta = 2)$	$Gaussian(\sigma = 1.00) + Uniform(\sigma = 1.16)$	45.13	0.769	<b>0.823</b>

Table 3. The use of different noise magnitudes ( $\sigma$ ) during training and testing may result in better overall performance as shown on full testset of CIFAR-10 for Gaussian smoothing [7] with and without consistency regularization [18].

METHOD	TRAIN $\sigma$	TEST $\sigma$	CLEAN-ACC	$\ell_2$ ACR
GAUSSIAN	1.00	1.00	45.90	0.492
GAUSSIAN	1.00	0.80	47.00	<b>0.531</b>
GAUSSIAN + CONSISTENCY REG.	0.25	0.25	74.41	0.545
GAUSSIAN + CONSISTENCY REG.	0.25	0.30	72.81	<b>0.584</b>

Table 4. Robustness to perturbations on CIFAR-10: Negative kurtosis  $K(X)$  coupled with a higher training  $\sigma$  can have significantly better ACR. The last two rows in each block use the same noise magnitude, showing that negative kurtosis improves performance.

TRAINING DISTRIBUTION(X)	TRAINING $\sigma$	K(X)	TEST $\sigma$	CLEAN-ACC	$\ell_2$ ACR
GAUSSIAN ( $\sigma = 0.25$ ) [7]	0.250	0.00		77.64	0.429
GAUSSIAN ( $\sigma = 0.331$ )	0.331	0.00	0.25	67.95	0.391
NU ( $\sigma_N = 0.25, \sigma_U = 0.217$ )	0.331	-0.22		75.20	<b>0.450</b>
GAUSSIAN ( $\sigma = 0.50$ ) [7]	0.500	0.00		64.19	0.508
GAUSSIAN ( $\sigma = 0.661$ )	0.661	0.00	0.50	56.89	0.526
NU ( $\sigma_N = 0.50, \sigma_U = 0.433$ )	0.661	-0.22		61.26	<b>0.560</b>
GAUSSIAN ( $\sigma = 1.00$ ) [7]	1.000	0.00		45.90	0.492
GAUSSIAN ( $\sigma = 1.323$ )	1.323	0.00	1.00	37.71	0.477
NU ( $\sigma_N = 1.00, \sigma_U = 0.866$ )	1.323	-0.22		45.70	<b>0.592</b>

kurtosis. The **kurtosis** of a probability distribution is the measure of its shape in terms of its tailedness. A distribution with negative kurtosis (called *platykurtic distribution*) has thinner tails than that of a Gaussian distribution, i.e., the distribution is lesser prone to generating extreme values when compared to the Gaussian distribution. The use of a platykurtic distribution during training reduces the probability of generating outliers, thereby improving the training stability as shown in Table 4, where ACR is higher using the proposed distribution. The last two rows in each block use the same noise magnitude, showing that the negative kurtosis improves performance. More details on **kurtosis** are provided in Section-1.3 of the Supplementary.

#### 4.4. Similarity Regularizer

Consistency regularizers are commonly used in the literature of adversarial defenses [1, 28, 29, 40]. In empirical defenses, Zhang *et al.* [41] introduce TRADES regularizer that enforces similarity between the outputs of a clean image and the corresponding perturbed image. Li *et al.* [21] introduce *stability training* for certified robustness in the same spirit as TRADES, where a Gaussian noise augmented image is used instead of the adversarially perturbed image.

Table 5. Effect of the proposed *similarity regularizer*  $R_S$  when Normal-Uniform noise is used during training, along with Gaussian noise during certification on CIFAR-10.

TRAINING	CERTIFICATION	CLEAN ACC	$\ell_2$ ACR
$NU(\sigma_N = 0.50, \sigma_U = 0.433)$		61.77	0.570
$NU(\sigma_N = 0.50, \sigma_U = 0.433) + R_S(\beta = 3)$	$\mathcal{N}(0.60^2)$	59.30	<b>0.742</b>
$NU(\sigma_N = 1.00, \sigma_U = 0.866)$		45.70	0.592
$NU(\sigma_N = 1.00, \sigma_U = 0.866) + R_S(\beta = 2)$	$\mathcal{N}(1.00^2)$	44.49	<b>0.769</b>

Zhai *et al.* [38] introduce MACER, that uses a regularizer to maximize the certified radius for  $\ell_2$  norm perturbations. The current state-of-the-art method [18] also uses a consistency regularizer to enforce consistency in predictions over noisy samples. In this work, we introduce the following *similarity regularizer* which is based on KL-divergence,

$$R_S = \text{KL}(f(x + \mathbf{NU}) || f(x + \mathbf{N})) + \text{KL}(f(x + \mathbf{NU}) || f(x + \mathbf{U})) \quad (2)$$

where,  $f$  is the base classifier that outputs the  $K$  dimensional probability vector,  $\mathbf{NU}$ ,  $\mathbf{N}$  and  $\mathbf{U}$  are noise vectors sampled from the Normal-Uniform( $\sigma_N, \sigma_U$ ), Normal( $0, \sigma_N^2$ ) and Uniform( $-\sqrt{3}\sigma_U, \sqrt{3}\sigma_U$ ) distributions respectively.  $\sigma_N$  and  $\sigma_U$  are the standard deviations of Gaussian and Uniform sub-parts of the Normal-Uniform distribution respectively.

Although we propose to use the Normal-Uniform noise distribution during training, we obtain certification using noise from the individual Normal and Uniform distributions as recommended by Cohen *et al.* [7] and Yang *et al.* [37] respectively. The certificates obtained are further combined using the process discussed in Section-4.1. Since the noise distributions used during training and certification are different, the proposed regularizer (Eq.2) helps in aligning predictions of the Normal-Uniform corrupted images with that of each distribution individually, thereby aligning the training and certification stages. The overall loss function used in the proposed method is shown below, where  $\mathcal{L}_{\text{CE}}(\cdot)$  is the cross entropy loss and  $\beta$  is a hyperparameter.

$$L := \mathcal{L}_{\text{CE}}(f(x + \mathbf{NU}), y) + \beta \cdot R_S \quad (3)$$

As shown in Table 5, on using the proposed regularizer  $R_S$ , we observe a significant boost in the ACR against  $\ell_2$  norm perturbations at slightly lower clean accuracy, when Gaussian noise based smoothing is used for certification.



## 4.5. Comparison Strategy across Methods

A standard practice of comparing robust classifiers across different training strategies is to use a common noise magnitude ( $\sigma$ ) during inference. However, the use of different training strategies can result in vastly different clean and robust performance metrics for the same noise magnitude used during inference, making it very difficult to compare a method with higher clean accuracy and lower ACR against a method with lower clean accuracy and better ACR. Given that variation of training and inference noise magnitude does give the flexibility of trading off robust accuracy with clean accuracy, from an end user perspective, it is important to know which method gives the best ACR for a specified value of clean accuracy. We therefore propose to firstly tune the training and certification  $\sigma$  to achieve the required level of clean accuracy with optimal ACR, and further compare the ACR across different methods.

## 5. Experiments and Results

### 5.1. Experimental Setup

We present an empirical evaluation of the proposed approach on the full test set of CIFAR-10 [20] unless specified otherwise. As discussed in Section-2.2, we evaluate the performance of models on their clean accuracy and Average Certified radius or ACR. To reproduce results from the baselines, we use the codes officially released by the authors. We use the model architecture as ResNet-110 [16], as used in the baselines. Our proposed model is trained for 300 epochs with a batch size of 400. We use cosine learning rate schedule with SGD optimizer. In this work, we refer to the Gaussian Smoothing baseline proposed by Cohen *et al.* [7] as *Gaussian* and the Consistency Regularization baseline by Jeong *et al.* [18] as *Gaussian+Consistency* or *Gaussian+cons.*

### 5.2. Comparing Different Smoothing Measures

As discussed in Section-4.5, comparison across different approaches was done against a fixed value of smoothing  $\sigma$  in prior works [18, 38]. In Section-4.5, we motivate the need for comparing against a fixed value of clean accuracy instead. We now present another scenario where comparing across a fixed noise  $\sigma$  can give misleading results.

We consider a comparison between methods that use different smoothing distributions during inference. For example, Yang *et al.* [37] theoretically show that Uniform distribution is optimal for robustness within  $\ell_1$  norm bound. However, it is not straightforward to show this in practice by comparing two methods that use different noise distributions for certification, as shown in Table 6. Merely comparing two methods against the same inference noise magnitude using the  $\ell_1$  ACR metric gives an impression that Gaussian smoothing is a better approach. However, one

Table 6. Comparing  $\ell_1$  certified robustness using noise from Gaussian and Uniform distributions for training and certification: While in theory, Uniform noise is known to be better, merely comparing ACR using a fixed noise magnitude for certification gives a false impression that Gaussian smoothing is optimal.

$\sigma$	MODEL	CLEAN-ACC	$\ell_1$ ACR
0.25	GAUSSIAN SMOOTHING	76.40	0.430
0.25	UNIFORM SMOOTHING	86.40	0.331

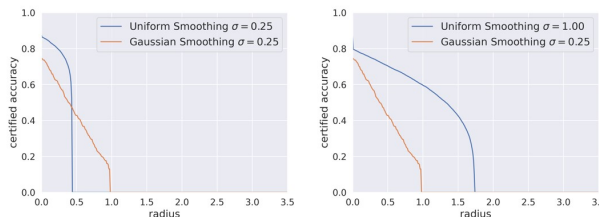


Figure 2. Comparison of  $\ell_1$  norm robustness-accuracy trade-off using Gaussian and Uniform smoothing for (Left) a fixed noise magnitude ( $\sigma$ ) of 0.25. (Right) a fixed clean accuracy of 75%.

Table 7. Comparison of Gaussian and Uniform Smoothing against fixed clean accuracy clearly shows that Uniform distribution is better for  $\ell_1$  certified robustness as shown by Yang *et al.* [37].

$\sigma$	MODEL	CLEAN-ACC	$\ell_1$ ACR
0.25	GAUSSIAN SMOOTHING	76.40	0.430
1.00	UNIFORM SMOOTHING	75.20	<b>0.967</b>

cannot conclude which smoothing is better overall because they create two different types of robustness-accuracy trade-offs as shown in the left image of Figure 2. There are approximately 40% data-points which have an  $\ell_1$  certified radius greater than or equal to 0.5 under Gaussian smoothing, but with uniform smoothing all the data-points have certified radii less than 0.5. On the other hand, the latter gives more correctly classified data-points than the former, making it hard to interpret which approach is better. Therefore, comparing two differently smoothed classifiers by fixing the smoothing noise level apriori fails to capture the true robustness-accuracy trade-off. The plot on the right in Figure 2, and results in Table 7 clearly show that smoothing with Uniform noise gives better  $\ell_1$  robustness, which rightly reflects the theoretical results by Yang *et al.* [37]. The proposed comparison method also works effectively using the proposed approach where we combine certifications from two different smoothing strategies during inference, and hence the noise level of the hybrid classifier cannot be determined easily.

### 5.3. SOTA Comparison Results

The results presented so far have focused on highlighting the individual contributions of our work. In this section, we present the overall results using all the rec-

Table 8. Performance of the proposed approach when compared to baselines across different fixed levels of clean accuracy (in each block), in terms of  $\ell_1$ ,  $\ell_2$  and average ACR on the full test set of CIFAR-10.

TRAINING SCHEME		CERTIFICATION	CLEAN ACC	$\ell_1$ ACR	$\ell_2$ ACR	AVG ACR
<i>Gaussian</i> ( $\sigma = 1.00$ ) [7]		<i>Gaussian</i> ( $\sigma = 1.00$ )	45.90	0.492	0.492	0.492
<i>Gaussian</i> ( $\sigma = 1.00$ )+CONS [18]		<i>Gaussian</i> ( $\sigma = 1.00$ )	45.96	0.762	0.762	0.762
$NU(\sigma_N = 1.00, \sigma_U = 0.866)+R_S(\beta = 2)$ (OURS)	<i>Gaussian</i> ( $\sigma = 1.00$ ) + <i>Unif</i> ( $\sigma = 1.16$ )(OURS)		45.13	<b>0.823</b>	<b>0.769</b>	<b>0.796</b>
<i>Gaussian</i> ( $\sigma = 0.84$ ) [7]		<i>Gaussian</i> ( $\sigma = 0.84$ )	50.62	0.513	0.513	0.513
<i>Gaussian</i> ( $\sigma = 0.81$ )+CONS [18]		<i>Gaussian</i> ( $\sigma = 0.81$ )	50.39	0.762	0.762	0.762
$NU(\sigma_N = 0.75, \sigma_U = 0.65)+R_S(\beta = 3)$ (OURS)	<i>Gaussian</i> ( $\sigma = 0.75$ ) + <i>Unif</i> ( $\sigma = 0.90$ )(OURS)		51.07	<b>0.824</b>	<b>0.768</b>	<b>0.796</b>
<i>Gaussian</i> ( $\sigma = 0.73$ ) [7]		<i>Gaussian</i> ( $\sigma = 0.73$ )	55.98	0.521	0.521	0.521
<i>Gaussian</i> ( $\sigma = 0.68$ )+CONS [18]		<i>Gaussian</i> ( $\sigma = 0.68$ )	55.52	0.761	<b>0.761</b>	0.761
$NU(\sigma_N = 0.60, \sigma_U = 0.52)+R_S(\beta = 4)$ (OURS)	<i>Gaussian</i> ( $\sigma = 0.60$ ) + <i>Unif</i> ( $\sigma = 0.70$ )(OURS)		55.80	<b>0.790</b>	0.762	<b>0.771</b>
<i>Gaussian</i> ( $\sigma = 0.62$ ) [7]		<i>Gaussian</i> ( $\sigma = 0.62$ )	60.34	0.527	0.527	0.527
<i>Gaussian</i> ( $\sigma = 0.57$ )+CONS [18]		<i>Gaussian</i> ( $\sigma = 0.57$ )	60.47	0.734	0.734	0.734
$NU(\sigma_N = 0.50, \sigma_U = 0.433)+R_S(\beta = 3)$ (OURS)	<i>Gaussian</i> ( $\sigma = 0.60$ ) + <i>Unif</i> ( $\sigma = 0.65$ )(OURS)		60.26	<b>0.783</b>	<b>0.742</b>	<b>0.762</b>
<i>Gaussian</i> ( $\sigma = 0.50$ ) [7]		<i>Gaussian</i> ( $\sigma = 0.50$ )	64.19	0.508	0.508	0.508
<i>Gaussian</i> ( $\sigma = 0.48$ )+CONS [18]		<i>Gaussian</i> ( $\sigma = 0.48$ )	64.91	0.707	0.707	0.707
$NU(\sigma_N = 0.40, \sigma_U = 0.346)+R_S(\beta = 3)$ (OURS)	<i>Gaussian</i> ( $\sigma = 0.50$ ) + <i>Unif</i> ( $\sigma = 0.50$ )(OURS)		65.27	<b>0.731</b>	<b>0.708</b>	<b>0.720</b>
<i>Gaussian</i> ( $\sigma = 0.39$ ) [7]		<i>Gaussian</i> ( $\sigma = 0.39$ )	70.37	0.503	0.503	0.503
<i>Gaussian</i> ( $\sigma = 0.37$ )+CONS [18]		<i>Gaussian</i> ( $\sigma = 0.37$ )	70.78	0.648	<b>0.648</b>	0.648
$NU(\sigma_N = 0.30, \sigma_U = 0.260)+R_S(\beta = 2)$ (OURS)	<i>Gaussian</i> ( $\sigma = 0.40$ ) + <i>Unif</i> ( $\sigma = 0.35$ )(OURS)		70.91	<b>0.666</b>	0.637	<b>0.652</b>
<i>Gaussian</i> ( $\sigma = 0.30$ ) [7]		<i>Gaussian</i> ( $\sigma = 0.30$ )	74.26	0.455	0.455	0.455
<i>Gaussian</i> ( $\sigma = 0.25$ )+CONS [18]		<i>Gaussian</i> ( $\sigma = 0.25$ )	74.41	0.545	0.545	0.545
$NU(\sigma_N = 0.20, \sigma_U = 0.17)+R_S(\beta = 4)$ (OURS)	<i>Gaussian</i> ( $\sigma = 0.30$ ) + <i>Unif</i> ( $\sigma = 0.25$ )(OURS)		74.52	<b>0.577</b>	<b>0.556</b>	<b>0.566</b>

ommendations presented in this paper. During training we use the proposed Normal-Uniform (NU) distribution along with the proposed similarity regularizer (Equation 2). During certification we create two smoothed classifiers, one with Uniform smoothing and the other with Gaussian smoothing, and further combine them using the proposed certification method presented in Section 4.1. As discussed in Section 4.5, we compare across different methods against fixed levels of clean accuracy set to the following - 45%, 50%, 55%, 60%, 65%, 70% and 75%. As shown in Table 8, the proposed approach has significantly higher ACR in all cases when compared to the baselines. The training parameters  $\sigma_N$  and  $\sigma_U$  are chosen to set the kurtosis of the Normal-Uniform distribution to a negative value ( $-0.22$ ), which gives the relation between  $\sigma_N$  and  $\sigma_U$  as  $\sigma_U = \sqrt{3}\sigma_N/2$ . We further tune the hyper-parameter ( $\sigma_N$ ) to achieve the required level of clean accuracy. Experimentally, a low value of  $\beta \in \{2, 3, 4\}$  for our proposed regularization (Equation 3) gives the best performance for the considered levels of clean accuracy. We further note from Table 8 that for Gaussian smoothing [7], reducing the clean accuracy below 60% by increasing the noise level results in a drop in ACR, which does not happen in the proposed method.

In the supplementary material, we present a detailed ablation study of the proposed method. We present the impact of variation in the hyperparameter  $\beta$ , impact of choice of regularizer and the effect of Kurtosis on the final performance of the certified classifier in terms of its clean accuracy,  $\ell_1$  ACR and  $\ell_2$  ACR.

## 6. Conclusion

In this work, we propose several aspects related to the training, certification and inference strategies for improving certified adversarial robustness within multiple perturbation bounds. Contrary to prior belief, we show that training and inference noise levels need not be the same to achieve optimal results. Further, we propose to compare different methods against a fixed clean accuracy rather than using a fixed noise level during inference. Thirdly, we propose an effective way of combining the certifications obtained using two different noise distributions. We next present the proposed training methodology of using noise sampled from the Normal-Uniform distribution during training, and ensuring similar representations during inference by using a consistency regularizer w.r.t. both Gaussian and Uniform distributions that are used during inference. We motivate the need for each of the individual strategies using several experimental results, and also present the impact of combining all discussed strategies to achieve state-of-the-art results in robustness against a combination of  $\ell_1$  and  $\ell_2$  perturbation bounds. We hope our work motivates further research on certified robustness within multiple perturbation bounds, which is very important in a real world setting.

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